## HARYANA ELECTRICITY REGULATORY COMMISSION

#### Notification

### The 1st October, 2021

**Regulation No. HERC/10/2005/5<sup>th</sup> Amendment/2021**: - In exercise of the powers conferred on it by section 86(1)(g) read with section 181 of the Electricity Act 2003 (Act 36 of 2003) and all other powers enabling it in this behalf and after previous publication, the Haryana Electricity Regulatory Commission makes the following regulations to amend the Haryana Electricity Regulatory Commission (Fee) Regulations, 2005 as under: -

### 1. Short title, commencement, and interpretation.

- These Regulations may be called the Haryana Electricity Regulatory Commission (Fee) Regulations, 2005, 5<sup>th</sup> Amendment Regulations, 2021.
- (2) These Regulations shall come into force from the date of their publication in the Haryana Government Gazette.
- (3) These Regulations shall extend to whole of the State of Haryana.
- 2. The following Schedule of Fee shall replace the existing schedule of fee appended to the amendment notified on 11<sup>th</sup> January, 2021.

Sr. No.	Particulars	Prescribed Fee
1.	Application Processing fee for grant of license under section 14 of the Act as prescribed by the State Government vide "Payment of Fees for Processing of Application for Grant of License Rules, 2005".	Government and amended from
2.	Annual Fee for Transmission/ Distributi	on/ Trading Licensees
(a)	Annual Fees for determination of tariff / Transmission / Distribution/ Retail Supply /	•
i)	Transmission Licensee	<sup>1</sup> 0.05% of the amount of the Annual Revenue Requirement (ARR) including non-tariff income of the previous year subject to minimum of Rs. 25 lakh and maximum of Rs. 3.5 Crore
ii)	Distribution Licensee	<sup>1</sup> 0.05% of the amount of the

Sr. No.	Particulars	Prescribed Fee
		Annual Revenue Requirement (ARR) including non-tariff income of the previous year subject to minimum of Rs.25 lakh and maximum of Rs. 3.5 Crore
iii)	Intra-State Trading Licensee	<sup>1</sup> 0.05% of the value of power traded during the previous year subject to minimum of Rs. 25 lakh and maximum of Rs. 3.5 Crore.
i∨)	Inter-State Trading Licensee	<sup>1</sup> 0.05% of the value of power traded during the previous year in relation to the intra-state trading undertaken by the petitioner in the State of Haryana subject to minimum of Rs. 25 lakh and maximum of Rs. 3.5 Crore
(b)	Licensees selected through competitive b	pid process
i)	Transmission licensee	<sup>1</sup> 0.05% of the amount of the Annual Revenue of the year based on bid adjusted for escalation factors, subject to a minimum of Rs. 25 lakh and maximum of Rs. 3.5 Crore.
ii)	Distribution licensee	<sup>1</sup> 0.05% of the amount of the Annual Revenue of the year based on bid adjusted for escalation factors, subject to a minimum of Rs. 25 lakh and maximum of Rs. 3.5 Crore.
(c)	Deemed Licensee(s)	<sup>1</sup> 0.05% of the amount of the Annual Revenue of the year based on the estimated energy to be handled at input periphery of the petitioner licensee and average ARR of Distribution Licensees of the State per unit of the input energy at input periphery of the Licensees for the previous year. Subject to a minimum of Rs.25 lakh and maximum of Rs. 3.5 Crore.
	<sup>1</sup> For new applicant, first year project determination of Annual Fee subject to re-	

Sr. No.	Particulars	Prescribed Fee
	determined by the Commission.	
	The annual fee shall be paid in advance at	the commencement of the financial
	year.	
3.	Petition for grant of deemed licensee	Rs. Two lakhs
	status in Haryana	
4.	Petition for approval of Business Plan	Rs. One (1) lakh
5.	Petition for approval of Capital Investment Plan	Rs. One (1) lakh
6.	Petition for determination of additional surcharge/FSA or any other charges to be recovered from the consumers in case not submitted along with the ARR	Rs. One (1) lakh.
7.	Application seeking prior approval under section 17 of the Electricity Act, 2003. (Except sale of Scrap)	<sup>2</sup> 0.01% of the value of the transaction for which approval is sought, subject to a minimum of Rs. 1 lakh and maximum of Rs. 30 lakh.
	<sup>2</sup> when a part of asset is labelled as scrap by the licensees it is no longer part of the assets in use for the normal business activity of the utility and therefore out of the ambit of Section 17 of the Act.	
8.	Application for amendment of license under section 18 of the Electricity Act, 2003	Rs. Two Lakhs
9.	Petition for determination of rates, charges, terms and conditions under proviso to sub section (1) of section 36 of the Electricity Act, 2003	Rs. Two lakhs Fifty thousand (To be paid by each party)
10.	Petition for adjudication of disputes relating to providing of non- discriminatory open access (Long Term and Medium Term) under sub- section (47) of section 2 read with section 9, 40 and or 42 of the Electricity Act, 2003 and the regulations notified there under.	Rs. Two lakhs
	Petition for determination of Tariff under pro section (1) of section 62 of the Electricity A	
Α	Where annual determination of Tariff is invo	blved
i)	<ul><li>a) Conventional Fuel based power plants (coal, gas, liquid fuel etc.)</li><li>b) Hydro Stations (more than 25 MW capacity)</li></ul>	<ul> <li>i. Upto 5 MW Rs. 1 Lakh</li> <li>ii. Upto 25 MW Rs. 5 Lakh</li> <li>iii. Above 25 MW Rs 10,000/- per MW of the Installed/ allocated Capacity subject to Minimum fee of Rs. 25 Lakh and Maximum fee of Rs. 3.5</li> </ul>

Sr. No.	Particulars	Prescribed Fee
ii)	<ul> <li>a) Non-conventional and/or Renewable sources of energy including co-generation-based power plants</li> <li>b) Hydro Stations (upto 25 MW capacity) (Also see Note 1)</li> </ul>	Cr. i. Upto 5 MW Rs. 1 Lakh ii. Upto 25 MW Rs. 5 Lakh iii. Above 25 MW Rs 5,000/- per MW of the Installed/ allocated Capacity subject to a Minimum fee of Rs.25 Lakh
В	Tariff determination for Generating Stations "A" above	and Maximum fee of Rs.1.75 Cr s other than those covered under
i)	<ul> <li>a) Conventional Fuel based power plants (coal, gas, liquid fuel etc.)</li> <li>b) Hydro Stations (more than 25 MW capacity)</li> </ul>	i. Upto 5 MW Rs. 5 Lakh ii. Upto 25 MW Rs. 50 Lakh iii. Above 25 MW Rs 50,000/- per MW of the installed/ allocated Capacity subject to a Minimum fee of Rs. 1 crore and Maximum fee of Rs. 3.5 Cr
ii)	<ul> <li>a) Non-conventional and/or Renewable sources of energy including co-generation-based power plants</li> <li>b) Hydro Stations (upto 25 MW capacity) (Also see Note 1)</li> </ul>	<ul> <li>i. Upto 5 MW Rs. 5 Lakh</li> <li>ii. Upto 25 MW Rs. 50 Lakh</li> <li>iii. Above 25 MW Rs 25,000/- per MW of the installed/ allocated Capacity subject to a Minimum fee of Rs. 1 crore and Maximum fee of Rs. 3.5 Cr</li> </ul>
12	Adoption of Tariff u/s 63 of the Act.	
i)	<ul> <li>a) Conventional Fuel based power plants (coal, gas, liquid fuel etc.)</li> <li>b) Hydro Stations (more than 25 MW capacity) (Also see Note 1)</li> </ul>	<ul> <li>i. Upto 5 MW Rs. 1 Lakh</li> <li>ii. Upto 25 MW Rs. 10 Lakh</li> <li>iii. Above 25 MW Rs 2,000/- per MW of the installed/ allocated Capacity subject to a Minimum fee of Rs. 20 Lakh and Maximum fee of Rs. 70 lakhs.</li> </ul>
ii)	<ul> <li>a) Non-conventional and/or Renewable sources of energy including co-generation-based power plants</li> <li>b) Hydro Stations (upto 25 MW capacity) (Also see Note 1)</li> </ul>	<ul> <li>i. Upto 5 MW Rs. 1 Lakh</li> <li>ii. Upto 25 MW Rs. 10 Lakh</li> <li>iii. Above 25 MW Rs 1,000/- per MW of the installed/ allocated Capacity subject to a Minimum fee of Rs. 20 Lakh and Maximum fee of Rs. 35 lakhs.</li> </ul>
iii)	Transmission Service Agreement	Rs. 500 per MW with a Minimum fee of Rs. 50,000/- (Fifty

Sr. No.	Particulars	Prescribed Fee
		thousand) and Maximum of Rs. 2 Lakh
	Application / Petition for approval of Power Agreement / Transmission Service Agreem However, fee deposited in case of seeking adjusted when final approval is sought.	ent including in principle approval.
i)	<ul> <li>a) Conventional Fuel based power plants (coal, gas, liquid fuel etc.)</li> <li>b) Hydro Stations (more than 25 MW capacity) (Also see Note 1)</li> </ul>	Rs. Ten thousand per MW of the Contracted capacity with a Minimum of Rs. 50,000/- (Fifty thousand) and Maximum of Rs. 25 Lakh.
ii)	<ul> <li>a) Non-conventional and/or Renewable sources of energy including co-generation-based power plants</li> <li>b) Hydro Stations (less than 25 MW capacity) (Also see Note 1)</li> </ul>	Rs. Five thousand per MW of the Contracted capacity subject to a Minimum of Rs.50000/- (Fifty thousand) and Maximum of Rs. 2 Lakh
iii)	Transmission Service Agreement	Rs. 2000 per MW subject to a Minimum of Rs. 50,000/- (Fifty thousand) and maximum of Rs. 2 Lakh
14.	Petition for review of Order of the Commiss	ion. (Also see Note 2)
i)	Where fee is prescribed under this regulation	Rs. Two lakh or 50% of the Original Fee whichever is less subject to a minimum fee of Rs. 50,000/- (Fifty Thousand). However, exemption is granted to category of Small Consumers having a connected load below 20 kW for 20 (i) and 20 (ii)c
ii)	Where review is sought of Suo Motto Orders	Rs.50,000/ (Fifty thousand)
iii)	Where review is sought of Order under 20(iii) filed by any person other than the complainant covered under 20(iii)	As per category wise fee mentioned under 20 (ii) – Others
i∨)	Where review is sought of Order under 20(iii) filed by the complainant covered under 20(iii)	Nil
15.	Adjudication of dispute u/s 86 (1) (f) or u/s 35	Rs. 2,00,000/- (Two Lakhs)
16.	Request for inspection of documents / petition disposed of or under consideration of the Commission if permitted to be inspected	Rs. Five hundred per file/ per day
17.	Request for obtaining certified copies of order/ petition disposed of or under consideration of the Commission as	Rs. Five per page subject to a minimum of Rs. Fifty.

Sr. No.	Particulars	Prescribed Fee
	may be permitted.	
18.	Petition by SLDC on any matter not	Nil
	covered above.	
19.	Surcharge for delay in submission of the	0.004% per day (15% p.a.) from
	Petition	due date (if prescribed by the
		Commission) up to the actual
		date of submission.
20.	Fee for any Application / Petition not covered	
i)	In case the application/petition is filed by	Rs.1,000/- (One thousand)
	the residents of the group housing society against Management/ Developer/ RWA	
ii)	Others	
")	a) By Utility / Licensee / deemed	Rs. 50,000/- (Rs. Fifty thousand)
	licensee / person granted exemption	(K3. 50,000/- (K3. 1 iity thousand)
	from license	
	b) By Institution/ Association/	Rs. 50,000/- (Rs. Fifty thousand)
	Organization/ Company (Limited,	
	Private Limited, Partnership)	
	Proprietorship/ Trust/Societies	
	c) Self Pleading Individual Consumer	Rs.1,000/- (Rs. One thousand)
	less than 20 kw	
	d) Interlocutory Applications (IA)	Rs. 5,000/ (Rs. Five thousand)
	e) Others than (a) to (d) above	Rs. 50,000/- (Rs. Fifty Thousand)
iii)	<sup>3</sup> Complaint under section 142 or	Nil
	section 146 of Electricity Act, 2003	
	provided that the person	
	(complainant) consumes electricity for its	
	own use and not for commercial purpose.	
	Provided that in case review petition is	
	filed by any person other than the	
	complainant covered under 20(iv), fee for such review shall be as per clause 14(iii)	
	Provided that in case review petition is	
	filed by the complainant covered under	
	20(iv), fee is Nil	
	•	isto for a under the Canaumar Act
	<sup>3</sup> Complaint is a petition before the appropriate for a under the Consumer Act, and therefore, borrowing the principle of law from there, its fee is kept as nil.	
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	The cost of advertisement of public notices by the Commission in the newspapers in petition(s) where comments/ objections of general public. Stakeholders are required shall be borne by the parties concerned if so	
	ordered by the Commission.	

# Notes

1. The classification of projects falling under Non-conventional and/or Renewable

sources of energy is based on the relevant provisions of the National Tariff Policy.

- Petition under the clause of removal of difficulty is not an application for review of Order. Such cases will be covered in "20. Fee for any Application / Petition not covered above"
- 3. All fee should be rounded off to the nearest hundred Rupees except for fee at point 17.

By Order of the Commission

Panchkula The 30th September, 2021 Director/Tariff HERC.